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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/074,003	02/14/2002	Warren Stuart Crippen	2207/12663	6656

7590 04/07/2005
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EXAMINER

PATEL, ISHWARBHAI B

ART UNIT PAPER NUMBER

2841

DATE MAILED: 04/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/074,003

Applicant(s)

CRIPPEN, WARREN STUART

Examiner

Ishwar (I. B.) Patel

Art Unit

2841

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 January 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 10-15 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 10-15 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 May 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

RCE

1. This action is in response to a request for continued examination (RCE) filed on January 7, 2005.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claim 10 is rejected under 35 U.S.C. 102(e) as being anticipated by Yoda et al., (US Patent No. 6,661,088).

Regarding claim 10, Yoda et al., in an embodiment of figure 2, discloses a space transformer comprising: a silicon medium (silicon substrate 20) having a land grid array side (side of 20 facing package substrate 5) and a semiconductor side (side of 20 facing chip 1) opposite the land grid array side; and a predetermined contact pattern (pattern of contacts with vias 27) comprising electrically conductive material (copper, shown as first wiring layer 21 in figure 6A-6B, in more detail, column 6, line 58-67)

disposed in an inner region of the silicon medium and defining electrical contact zones (contact zone of via 27, shown in more detail in figure 7B) providing double-sided electrical contacts (see 51,21, figure 7B) for the space transformer, the contact comprising: land grid array side contacts (11) disposed on the land grid array side of the silicon medium and having their largest dimension and their pitch in the order of mils to define a macro-pitch scale (electrodes 11 arranged with a pitch of 25 mil (250 μm), column 7, line 50-55, defining macro-pitch scale); and semiconductor side contacts (opening 51 of via 27) disposed on the semiconductor side of the silicon medium and having their largest dimension and their pitch in the order of microns to define a micro-pitch scale (pitch of via 27 is about 10 μm to 20 μm , column 5, line 5-10, defining micro-pitch scale), the electrical contact zones being disposed to convert a macro-pitch scale of the land grid array side contacts to the micro-pitch scale of the semiconductor side contacts (see 11 and 27 of element 3 in figure 2).

4. Claims 10-13 are rejected under 35 U.S.C. 102(e) as being anticipated by Yoda et al., (US Patent No. 6,661,088).

Regarding claim 10, Yoda et al., in an embodiment of figure 11A-11B, discloses a space transformer comprising: a silicon medium (silicon substrate 20) having a land grid array side (side of 20 facing package substrate 5, as shown in detail in figure 2) and a semiconductor side (side of 20 facing chip 1, as shown in detail in figure 2) opposite the land grid array side; and a predetermined contact pattern (pattern of contacts with

Art Unit: 2841

vias 27) comprising electrically conductive material (copper, shown as first wiring layer 21 in figure 6A-6B, in more detail, column 6, line 58-67) disposed in an inner region of the silicon medium and defining electrical contact zones (contact zones of via 27 of first interposer layer 3-1) providing double-sided electrical contacts for the space transformer, the contact comprising: land grid array side contacts (11) disposed on the land grid array side of the silicon medium and having their largest dimension and their pitch in the order of mils to define a macro-pitch scale (electrodes 11 arranged with a pitch of 25 mil (250 μm), column 7, line 50-55, defining macro-pitch scale); and semiconductor side contacts disposed on the semiconductor side of the silicon medium and having their largest dimension and their pitch in the order of microns to define a micro-pitch scale (pitch of via 27 is about 10 μm to 20 μm , column 5, line 5-10, defining micro-pitch scale), the electrical contact zones being disposed to convert a macro-pitch scale of the land grid array side contacts to the micro-pitch scale of the semiconductor side contacts (see 11 and 27 of element 3 in figure 2).

Regarding claim 11, Yoda et al., further discloses the silicon medium comprises a first silicon layer (silicon layer 20 in first interposer 3-1) and a second silicon layer (silicon layer 20 in first interposer 3-2), the contact pattern (pattern of via 27) being disposed between the first silicon layer and the second silicon layer.

Regarding claim 12, Yoda et al., further discloses the second silicon layer defines at least one via (via 27 in second interposer 3-2) therein, at least some of the

Art Unit: 2841

electrically conductive material (copper, shown as first wiring layer 21 in figure 6A-6B, in more detail, column 6, line 58-67) being located in the at least one via.

Regarding claim 13, Yoda et al., further discloses an adhesion promoter (41, as shown in more detail in figure 5A) disposed between the electrically conductive material (copper, shown as first wiring layer 21 in figure 6A-6B, in more detail, column 6, line 58-67) and the first silicon layer (silicon layer 20 of first interposer).

5. Claims 14-15 are rejected under 35 U.S.C. 102(e) as being anticipated by Yoda et al., US Patent No. 6,661,088.

Regarding claim 14, Yoda et al., in an embodiment of figure 11A-11B, discloses a space transformer comprising: a silicon medium (silicon substrate 20) having a land grid array side (side of 20 facing package substrate 5, as shown in detail in figure 2) and a semiconductor side (side of 20 facing chip 1, as shown in detail in figure 2) opposite the land grid array side; and further comprising: a first silicon layer (silicon layer 20 in first interposer 3-1) defining a plurality of vias (27) therein and a second silicon layer (silicon layer 20 in first interposer 3-2), disposed on the first silicon layer; a predetermined contact pattern (contact pattern of via 27 formed of copper film 49, shown in more detail in figure 6A-6B) comprising copper (copper film 49, column 6, line 57-58) and being disposed in an inner region located between the first silicon layer and the second silicon layer, at least some of the copper being disposed in the plurality of vias (27) for defining electrical contact zones (contact zone of via 27 exposed on the

Art Unit: 2841

upper surface of first interposer layer 3-1) providing double-sided electrical contacts for the space transformer; the contacts comprising: land grid array side contacts disposed on the land grid array side of the silicon medium and having their largest dimension and their pitch in the order of mils to define a macro-pitch scale (electrodes 11 arranged with a pitch of 25 mil (250 μm), column 7, line 50-55, defining a macro-pitch scale); and semiconductor side contacts disposed on the semiconductor side of the silicon medium and having their largest dimension and their pitch in the order of microns to define a micro-pitch scale (pitch of via 27 is about 10 μm to 20 μm , column 5, line 5-10, defining a micro-pitch scale), the electrical contact zones (contact zone of via 27 of first interposer 3-1) being disposed to convert a macro-pitch scale of the land grid array side contacts to the micro-pitch scale of the semiconductor side contacts (see 11 and 27 of element 3 in figure 2).

Regarding claim 15, Yoda et al., further discloses a layer of adhesion promoter (41, as shown in more detail in figure 5A) disposed between the electrically conductive material (copper) and the first silicon layer (20 of first interposer 3-1).

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 10-12 and 14 rejected under 35 U.S.C. 103(a) as being unpatentable over Van Pham et al., (US Patent No. 6,303,992), in view of Eldridge et al., (US Patent No. 5,974,662).

Regarding claim 10, Van Pham et al., in of figure 4, discloses a space transformer comprising: a silicon medium (12, made of silicon, column 3, line 41-49) having a land grid array side (side facing substrate 70) and a semiconductor side (side facing semiconductor die 50) opposite the land grid array side; and a predetermined contact pattern (pad 18, 20 and vertical and horizontal conductive conduits 22, column 3, line 1-8) comprising electrically conductive material (copper, column 4, line 1-10) disposed in an inner region of the silicon medium and defining electrical contact zones (contact zones of conduit 22 with pads 18, 20) providing double-sided electrical contacts for the space transformer, the contact comprising: land grid array side contacts (20) disposed on the land grid array side of the silicon medium and semiconductor side contacts (18) disposed on the semiconductor side of the silicon medium.

Van Pham et al., fails to explicitly disclose land grid array side contacts have their largest dimension and their pitch in the order of mils to define a macro-pitch scale and semiconductor side contacts having their largest dimension and their pitch in the order of microns to define a micro-pitch scale. However, Van Pham et al. discloses a space transformer made of ceramic material such as silicon with land grid array side contacts (20) directly connected to the pads 72 of the substrate, (see description on column 3, line 56-64) and semiconductor side contacts (18) directly connected to the pads 54 of

the die 50, (column 3, line 41-49). This implies that the space transformer of Van Pham et al., is converting the dimension of land grid array side contacts (20), which match to that of the substrate to the dimension of semiconductor side contacts (18), which match to that of the semiconductor.

Further, Eldridge et al., in figure 4, discloses a space transformer 400, made of ceramic, with bottom surface 402b with terminals at a 50-100 mil pitch, comparable to printed circuit board pitch, (land grid array side), defining macro-pitch scale, and top surface 402a with a relatively fine pitch, about 127 micron to 254 micron (5-10 mil pitch), center to center spacing comparable to semiconductor die bond pad, defining a micro-pitch scale, see column 23, line 5-25.

A person of ordinary skill in the art at the time the invention was made would readily construe that the dimension, size and spacing, on printed wiring board side, land grid array side would be larger, with wider pitch, defining a macro-pitch scale, than that on the semiconductor side contacts of a space transformer, with narrower pitch, defining a micro-pitch scale, for connecting a semiconductor device on one side of the space transformer and a printed circuit board on the other side of the space transformer.

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to construe the space transformer of Van Pham et al., with land grid array side contacts having dimensions and spacing larger, defining a macro-pitch scale, than that on the semiconductor side contacts, defining a micro-pitch scale, from the teachings of Eldridge et al., in order to facilitate connection of the

Art Unit: 2841

semiconductor device on one side and the printed circuit board on the other side of the space transformer.

Regarding claim 11, Van Pham et al., further discloses the silicon medium comprises a first silicon layer and a second silicon layer, the contact pattern (conductive conduits 22, column 3, line 1-8) being disposed between the first silicon layer and second silicon layer, (see figure 4 and 8A-B, column 4, line 60 to column 5, line 5).

Regarding claim 12, Van Pham et al., further discloses the second conductive layer defines at least one via (via 22) therein, at least some of the electrically conductive material being located in the least one via (see figure 4, column 4, line 1-5).

Regarding claim 14, Van Pham et al., in figure 4, discloses a space transformer comprising: a silicon medium (12, made of silicon, column 3, line 41-49) having a land grid array side (side facing substrate 70) and a semiconductor side (side facing semiconductor die 50) opposite the land grid array side; and further comprising: a first silicon layer (silicon layer below the horizontal conduit 22) defining a plurality of vias (holes in the silicon layer below the horizontal conduit 22) therein and a second silicon layer (silicon layer above the horizontal conduit 22), disposed on the first silicon layer; a predetermined contact pattern (via hole and conduit 22 and pads 18 and 20) comprising copper (copper, column 4, line 1-10) and being disposed in an inner region located between the first silicon layer and the second silicon layer, at least some of the copper

Art Unit: 2841

being disposed in the plurality of vias (vertical conduit 22, see figure 4, column 4, line 1-5) for defining electrical contact zones (contact zone of conduit 22 and pads 18, 20) providing double-sided electrical contacts for the space transformer; the contacts comprising: land grid array side contacts (20) disposed on the land grid array side of the silicon medium and semiconductor side contacts (18) disposed on the semiconductor side of the silicon medium.

Van Pham et al. fails to explicitly disclose land grid array side contacts have their largest dimension and their pitch in the order of mils to define a macro-pitch scale and semiconductor side contacts having their largest dimension and their pitch in the order of microns to define a micro-pitch scale. However, Van Pham et al. discloses a space transformer made of ceramic material, such as silicon, with land grid array side contacts (20) directly connected to the pads 72 of the substrate, (see description on column 3, line 56-64) and semiconductor side contacts (18) are directly connected to the pads 54 of the die 50, (column 3, line 41-49). This implies that space transformer of Van Pham et al., is converting the dimension of land grid array side contacts (20), which match to that of the substrate to the dimension of semiconductor side contacts (18), which match to that of semiconductor.

Further, Eldridge et al., in figure 4, discloses a space transformer 400, made of ceramic, bottom surface 402b with terminals at a 50-100 mil pitch, comparable to printed circuit board pitch, (land grid array side), defining macro-pitch scale, and top surface 402a with a relatively fine pitch, about 127 micron to 254 micron (5-10 mil pitch),

Art Unit: 2841

center to center spacing comparable to semiconductor die bond pad, defining a micro-pitch scale, see column 23, line 5-25.

A person of ordinary skill in the art at the time the invention was made would readily construe that the dimension, size and spacing, on printed wiring board side, (land grid array side) would be larger, with wider pitch, defining macro-pitch scale, than that on the semiconductor side contacts of a space transformer, with narrower pitch, defining a micro-pitch scale, for connecting a semiconductor device on one side of the space transformer and a printed circuit board on the other side of the space transformer.

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to construe the space transformer of Van Pham et al., with land grid array side contacts having dimensions and spacing larger, (defining macro-pitch scale), than that on the semiconductor side contacts, (defining a micro-pitch scale), from the teachings of Eldridge et al., in order to facilitate connection of the semiconductor device on one side and the printed circuit board on the other side of the space transformer.

8. Claims 13 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over of Van Pham et al., alternately, over the combination of Van Pham et al., and Eldridge et al., as applied to claims 10 and 14 above, respectively, and further in view of

Petrarca et al., US Patent No. 6,429,522, hereafter, Petrarca and Matsuo et al., US Patent No. 6,614,106, hereafter, Matsuo.

Regarding claims 13 and 15, the combination of Van Pham et al., and Eldridge et al., discloses all the features of the claimed invention as applied to claims 10 and 14 respectively, but fails to disclose an adhesion promoter disposed between the electrically conductive material and the first silicon layer.

Petrarca, in the background discussion, discloses that it is known in the semiconductor industry to apply an adhesion promotion layer such as silicon oxide, silicon nitride, titanium, tungsten or related compounds, before a metal deposition. The adhesion promotion layer is often used as a barrier for metal migration.

Matsuo discloses an interposer 30 made out of silicon substrate, column 2, line 35-50, and adhesion promotion layer for copper plating, column 3, line 23-30.

A person of ordinary skill in the art would readily recognize the advantage of providing adhesion promotion layer, before metal deposition, for better adhesion of the metal.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the structure of Van Pham et al., with adhesion promotion layer, from the teachings of Petrarca and Matsuo, in order to have better adhesion of the metal deposition.

Response to Arguments

9. Applicant's arguments filed January 7, 2005 have been fully considered but they are not persuasive, with respect to the prior art rejection of Van Pham et al. Further, a new art rejection given with the prior art of Yoda et al., rendering the arguments moot.

The argument with respect to the rejection with prior art of Van Pham et al., is not found to be persuasive, in particular the argument that pitch of pad 18, as shown in figure 4, on surface 14 is much larger than that of the pads 20 on the other surface 16.

It appears that the applicant is looking the distance between the pads 18 in the cross section area, as shown in figure 4. However, the pads 18 are formed on the periphery, as shown in figure 3A, and pitch is between the adjacent ones on the periphery, whereas pads 20 are formed on full surface as shown in figure 3B. Van Pham et al., on column 3, line 40-65, recites that "(t)he contact 18 are arranged about the periphery of the contact surface 14 in matched relation with the respective bond pads 54 of the semiconductor die 50" and "(t)he bonding pads 20, on the other hand, are not arranged merely about the periphery of its surface 16 as are the contact pads; rather, the bonding pads 20 are arranged generally across the entire area of the bonding surface 16. This use of essentially the entire bottom surface 16 of the interposer provides a much larger area within which the pads may be distributed than is the case with using only a narrow perimeter area. This allows the use of bonding pads

Art Unit: 2841

20 that are appreciably larger than the interposer's contact pads 18 ". Therefore the interpretation that pitch of pad 18, as shown in figure 4, on surface 14 is much larger than that of the pads 20 on the other surface 16, is not correct.

Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Pasch, US Patent No. 5,821,624, in figure 7, 7a, 7b and figure 8, 8a, discloses a single layer and a multilayer space transformer (interposer) made of silicon material (column 16, line 59-64.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ishwar (I. B.) Patel whose telephone number is (571) 272 1933. The examiner can normally be reached on M-F (8:30 - 5:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kamand Cuneo can be reached on (571) 272 1957. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2841

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Ishwar (I. B.) Patel
Examiner
Art Unit: 2841
March 28, 2005